

**illumina Cambridge Limited**  
**Terms and Conditions – Services (NON-CLINICAL LABORATORY)**  
**February 2014**

**1. Definitions; Interpretation.** "Agreement" means either (i) the Quotation, including these terms and conditions and the applicable Service Description which form a part thereof; (ii) all electronic information and terms of Illumina referenced during an Electronic Order, including these terms and conditions and the applicable Service Description which form a part thereof in the case of an Electronic Order; or (iii) all terms referenced in an Order Confirmation, including these terms and conditions and the applicable Service Description which form a part thereof in the case of an order placed without a Quotation and that is not an Electronic Order. "Customer" means the purchaser of the Services hereunder. "Deliverables" means the Results as described in these terms and conditions. "Electronic Order" means an order placed by Customer utilizing Illumina's electronic commerce system (e.g., iCom). "Intellectual Property Rights" means all patent rights, copyrights (including rights in computer software), trade marks, trade names, get up and logo, service marks, business names (including internet domain names), design rights, database rights, rights in undisclosed or confidential information (such as know-how, trade secrets and inventions (whether or not patentable)) and all other intellectual property or similar proprietary rights of whatever nature, current or future, under the laws of any jurisdiction, whether registered or not and including all applications or rights to apply therefore and registrations thereto. "Order Confirmation" means a sales order confirmation document provided by Illumina. "Quotation" means a written quotation provided by Illumina to Customer. "Results" are the data that are generated through performance of the Services as specifically described in the applicable Service Description. "Results Work Product" means, subject to section 7, the Results and all discoveries and inventions, including without limitation discoveries and inventions relating to expressions, that arise directly out of the Results, including without limitation, any diagnostic or therapeutic discoveries or inventions. "Sample(s)" means the sample(s) to be provided by Customer to Illumina as described in the applicable Service Description and/or Quotation. "Sample Requirements" means the quantity, quality, and other requirements for each Sample as specified in the applicable Service Description and required in order for Services to be successfully performed on a given Sample. "Services" as used herein refers to the activities to be performed hereunder as described in and subject to the specific terms found in the Service Description. "Service Description" means the document that describes the Services (e.g., FastTrack Genotyping, FastTrack Sequencing, Whole Genome Sequencing, etc.). "Specifications" means the written specifications for Services that are contained in the Services Description. Sections, titles and headings in this Agreement are for convenience only and are not intended to affect the meaning or interpretation hereof. Whenever required by the context, the singular term shall include the plural, the plural term shall include the singular, and the gender of any pronoun shall include all genders. As used in this Agreement except as the context may otherwise require, the words "include", "includes", "including", and "such as" are deemed to be followed by "without limitation", whether or not they are in fact followed by such words or words of like import, and "will" and "shall" are used synonymously. Except as expressly stated, any reference to "days" shall be to calendar days, and "business day" shall mean all days other than Saturdays, Sundays or a bank holiday recognised in England and Wales.

**2. Applicability of Terms and Conditions.** This Agreement shall exclusively govern the ordering, purchase and provision of the Services, and shall prevail over any conflicting, amending and/or additional terms contained in any purchase orders, invoices or similar documents, which are hereby rejected and shall be null and void. Illumina's failure to object to any such terms shall not constitute a waiver by Illumina, nor constitute acceptance by Illumina of such terms and conditions.

**3. Information Transfer; Samples.** In order to ensure timely and satisfactory performance of the Services, it is critical that Customer provide all relevant information and other materials in a timely manner to Illumina. Customer agrees to provide the Samples and all other information and materials as specified in the Service Description in accordance with the guidelines set forth therein. Customer acknowledges that any failure to provide the Samples, information, and any other materials in accordance with the Service Description may result in delays in the project and Illumina shall not be in breach of this Agreement nor liable to the Customer in respect of any such failure of the Customer. After receipt of the purchase order from Customer, Customer shall promptly provide to Illumina the number of Samples as set forth in the Quotation in accordance with the Sample Requirements set forth in the applicable Service Description. Customer agrees that each Sample shall meet the Sample quality criteria established by Illumina that applies to such Service. Illumina reserves the right to change the Sample quality criteria in its sole discretion, and Illumina will provide Customer with written notification of any such changes. See the applicable Service Description for additional information concerning Sample quality requirements.

**4. Delivery.** Delivery shall have occurred by one of the following means, to be determined by mutual agreement of the parties: (a) if Results are to be delivered electronically, once Illumina has transmitted an electronic file containing all or a portion of the Results to Illumina's FTP web site or other site as mutually agreed and has notified Customer that such file is available OR upon shipment FOB origin of such hard drive(s) or material containing all or a portion of the Results. Customer acknowledges that Illumina does not typically retain a copy of the Results beyond Delivery and the Results may not be recoverable from Illumina's systems after

Delivery. In the event of loss of the Results by the Customer after Delivery, Illumina shall have no further obligation to deliver the Results to the Customer

**5. Pricing; Payment.** Prices for the Services shall be as specified in the Quotation or during an Electronic Order or other order and are valid solely during the period set forth therein. Unless otherwise specified in the Quotation or during an Electronic Order or other order, prices specified in the Quotation are for the number of Samples and specific Services stated therein. Any changes to the number of Samples or the scope or performance of the Services will affect pricing, and will therefore require mutual written agreement of the parties. Illumina will send invoices to Customer upon delivery of Results or portions thereof. All invoices shall be paid in full by the Customer within thirty (30) days from the date of invoice. Any amounts not paid when due will accrue interest at the rate of one and one half percent (1.5%) per month, or the maximum amount allowed by law, if lower. In the event that any payment is not made within the time period specified in this Agreement, Illumina may suspend providing the Services and delivering the Results until all payments are made current. Customer shall pay for all costs (including reasonable legal fees) incurred by Illumina in connection with the collection of late payments. The amount of credit authorized by Illumina may be changed or entirely withdrawn at any time, and Illumina reserves the right to require alternative payment terms, including but not limited to a letter of credit or full or partial payment in advance.

All amounts payable to Illumina are exclusive of and are payable without deduction for all sales, use, excise, value added, withholding and other taxes, and all customs duties and tariffs claimed or imposed by any governmental authority upon the performance of the Services or delivery of the Results. Any such charges will be added to the price or subsequently invoiced to the Customer by the Seller or Purchaser shall gross up any payment to the Seller in respect of which deduction is required to be made.

Customer shall pay the total price as set forth in the Quotation, in pounds sterling (£) unless otherwise specified. Each accepted purchase order is a separate, independent transaction, and Customer has no right of set-off against other purchase orders or other transactions with Illumina.

In the event the Customer terminates the Agreement prior to the delivery of all the Results, the Customer will be charged a cancellation fee equal to the costs reasonably incurred by Illumina up to that point and for which an invoice has not been sent, including but not limited to Illumina's then current list price of all materials used or produced, including, without limitation, flow cells and reagents.

**6. Ownership of Samples; Regulatory Compliance.** Customer represents and warrants that it owns or otherwise controls the Samples and that it has the right to provide the Samples to Illumina for the purpose described herein. Illumina shall use the Samples solely for the purpose of performing the Services. Illumina agrees that it will promptly return or destroy, in Customer's sole discretion, any unused Samples or portions thereof following the delivery of the Results. Customer shall obtain and maintain all necessary licences and consents and comply with all applicable laws and regulations in relation to the transfer of the Samples to Illumina and the performance of the Services.

**7. Intellectual Property.** The Results Work Product shall be owned by Customer and all right, title, and interest in, to and under the Results Work Product, including without limitation all Intellectual Property Rights therein, shall vest immediately upon their creation in the Customer. Results Work Product shall not include any discoveries or inventions, including without limitation discoveries and inventions relating to expressions, that were in Illumina's possession or known by Illumina or its affiliated entities prior to performance of the Services, and any materials, processes, or methods used by Illumina in the performance of the Services and any Intellectual Property Rights owned by or licensed to Illumina prior to the performance of the Services, including without limitation in each case any modifications or improvements thereto and Intellectual Property Rights therein. Customer shall at all times retain all right, title, and interest in the Samples provided hereunder.

**8. Research Use Only.** Customer acknowledges that the Services are provided for research use only and are not being performed in a clinical laboratory. The Services are not an article or accessory intended to be used specifically for the medical purpose of: diagnosis, treatment, prevention, monitoring or alleviation of a disease, injury or disability; compensation for an injury or disability; investigation, replacement or modification of the anatomy or or a physiological process or state; or control or support of contraception; and the Results are not intended to be medical advice. The Services have not been subjected to any conformity assessment or other regulatory review or certified, approved or cleared by any conformity assessment body or other regulatory body in any country for diagnostic use or any other purpose.

**9. Limited Warranty.** Illumina represents and warrants to Customer that all Results delivered by Illumina hereunder shall conform to the Specifications in all material respects. **Except as expressly set forth in this section 9 and to the extent permitted by law, the results are provided to customer on an "as is" basis, and Illumina, to the maximum extent permitted by applicable law, expressly disclaims any and all warranties, express or implied, including, without limitation, any**

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**implied warranty of satisfactory quality, fitness for a particular purpose, care and skill, accuracy, utility, or non infringement with respect thereto.**

**10. Indemnification.** Illumina shall indemnify and hold harmless Customer, its affiliated entities and their respective directors, officers, employees, agents, successors, and assigns from and against any liabilities, expenses, or costs arising out of any claim, complaint, suit, proceedings, or cause of action brought by a third party pertaining to infringement of such third party's valid and enforceable Intellectual Property Rights resulting from the methods, materials, or processes specified by Illumina in the Service Description and used by Illumina in performance of the Services and Illumina shall pay all settlements entered into, and all final judgments and costs (including reasonable legal fees) awarded against Customer (and Illumina, as the case may be) in connection with any such action.

Customer shall indemnify and hold harmless Illumina, its affiliated entities and their respective directors, officers, employees, agents, successors, and assigns from and against any liabilities, expenses, or costs arising out of any claim, complaint, suit, proceedings or cause of action brought by a third party pertaining to (i) infringement of such third party's valid and enforceable Intellectual Property Rights resulting from Customer's (a) providing the Samples, information and other materials, (b) specification or selection of any methods, materials, or processes to be used in the performance of the Services, other than those methods, materials, or processes that are specified by Illumina in the Service Description and used by Illumina in performing the Service, and (c) specification or selection of the SNP loci in the case of genotyping or regions of interest in the case of targeted sequencing, and (ii) or arising out of any actions Customer has taken based on its analysis, interpretation, or use of the Results and any other information provided by Illumina under this Agreement and Customer shall pay all settlements entered into, and all final judgments and costs (including reasonable attorneys' fees) awarded against Illumina (and Customer, as the case may be) in connection with any such action.

Each party's indemnification obligation pursuant to this Section 10 is subject to the indemnified party (i) notifying the indemnifying party promptly in writing of such action, (ii) giving the indemnifying party exclusive control and authority over the defense and settlement of such action, (iii) not admitting infringement of any Intellectual Property Right without the indemnifying party's prior written consent, (iv) not entering into any settlement or compromise of any such action without the indemnifying party's prior written consent, and (v) providing all reasonable assistance to the indemnifying party (provided that the indemnifying party reimburses the indemnified party for its reasonable out-of-pocket expenses incurred in providing such assistance).

**11. Limited Liability. To the extent permitted by law, in no event will either party be liable to the other for costs of procurement of substitute services, lost profits, data or business, or for any special, indirect, consequential or incidental damages incurred by such party arising out of or in connection with this agreement (or the termination hereof), however caused and on any theory of liability (whether in contract, tort (including negligence), misrepresentation, statutory duty or otherwise, including, but not limited to, the loss of prospective profits or anticipated sales, or on account of expenses, investments, or commitments in connection with the business or goodwill or otherwise. Illumina's total and cumulative liability arising under or in connection with this agreement, whether in contract, tort (including negligence), misrepresentation, statutory duty or otherwise, shall in no event exceed the amount received by Illumina from Customer under this agreement. The limitations set forth in this section shall apply even if a party has been advised of the possibility of such damages, and notwithstanding any failure of essential purpose of any limited remedy. Nothing in this agreement shall limit liability of a party or its affiliated entities for death or personal injury caused by negligence or fraud.**

**12. Privacy.** Customer acknowledges that Illumina deems of utmost importance the privacy and anonymity of any individual that is the subject of the Samples. Therefore Customer shall not disclose or provide to Illumina any personal data (as that term is defined in the Data Protection Act 1998), shall comply with all legal, regulatory, and contractual obligations with respect to the privacy of the individual that is the subject of such Sample, and shall inform Illumina in a timely manner of any requirements applicable to Illumina's provision of these Services. Illumina shall not sell, trade or otherwise disclose to other customer of Illumina any account information of Customer. Customer acknowledges and agrees that Illumina is entitled to maintain and use a database of orders and account information pertaining to Customer for purposes of order processing, maintaining records and assisting with future orders of Customer.

**13. Confidential Information.** Except as provided herein, each party shall maintain in confidence, and shall not use for any other purpose or disclose to any third party, information (of whatever nature) disclosed by the other party or its affiliated entities in writing and marked "Confidential" (or in a similar manner to indicate its confidential nature) or that is disclosed orally as confidential and confirmed in writing within thirty (30) days following such disclosure (collectively, "Confidential Information"). However, the source and identity of Samples, the Results, and the details of the methods, materials or processes used by Illumina in the performance of the Services which, by their very nature or the circumstances in which imparted, would reasonably be deemed confidential, shall be considered

Confidential Information whether or not so marked. Each party shall disclose Confidential Information disclosed by the other party. The receiving Party shall disclose the Confidential Information of the disclosing party solely on a need to know basis to its directors, officers and employees and also agrees not to disclose to any third party any terms of this Agreement without the consent of the other party, except as required by securities or other applicable laws or regulations, in which case the Disclosing party shall seek confidential treatment to the extent available, under conditions that reasonably protect the confidentiality thereof

Confidential Information shall not include any information that is: (i) already lawfully known to the receiving party at the time of disclosure hereunder, (ii) now or hereafter becomes publicly known other than through breach of this Agreement, (iii) is disclosed to the receiving party by a third party that the disclosing party reasonably concluded was under no obligation of confidentiality to the disclosing party with respect thereto, or (iv) is independently developed by or for the receiving party without reliance on the Confidential Information of the disclosing party. The obligations of confidentiality contained in this Section 13 shall remain in force for a period of no less than three (3) years from the delivery of all the Results, which shall in all events survive its earlier termination.

**14. Customer's Breach; Survival** In addition to any remedies specified elsewhere under this Agreement, and any remedies available to Illumina under law, Illumina may, immediately upon notice to the Customer, do any, all, or any combination of the following in the event Customer breaches the terms of this Agreement: (i) cease performance hereunder, or (ii) terminate any remaining warranty for the affected service. All provisions of this Agreement that by their nature should survive termination shall survive including without limitation Sections 1, 2, 5, 6, 7, 9, 10, 11, 13 and 15, and all payment obligations incurred hereunder. All other rights and obligations of the parties under this Agreement shall cease upon termination or expiration of this Agreement.

**15. Miscellaneous.**

a. Customer acknowledges and agrees that any future products and/or services ("Unreleased Products") are subject to new part numbers, pricing, and specifications. Customer agrees that its purchase of the Services hereunder is not in reliance on the availability of any Unreleased Products.

b. If any provision or part-provision of this Agreement is held invalid, illegal or unenforceable, it shall be enforced to the maximum extent permissible so as to effect the intent of the parties, and the remainder of this Agreement will continue in full force and effect. The failure or delay of either party to exercise any right or remedy provided herein or to require any performance of any term of this Agreement shall not be construed as a waiver and no single or partial exercise of any right or remedy provided herein or to require any performance of any term of this Agreement or the waiver by either party of any breach of this Agreement shall not prevent a subsequent exercise or enforcement of, or be deemed a waiver of any subsequent breach of, the same or any other term of this Agreement. Except as expressly provided in this Agreement, the rights and remedies of each party under this Agreement are cumulative and not exclusive of any rights or remedies provided by law or regulation. Nothing in this Agreement shall constitute or create a joint venture, partnership, or any other similar arrangement between the parties. No party is authorized to act as agent for the other party hereunder except as expressly stated in this Agreement.

c. All notices required or permitted under this Agreement shall be in writing and shall be deemed received when (a) delivered personally; (b) five (5) days after having been sent by registered or certified mail, return receipt requested, postage prepaid (or ten (10) days for international mail); or (c) one (1) day after deposit with a commercial express courier specifying next day delivery or, for international courier packages, two (2) days after deposit with a commercial express courier specifying 2-day delivery, with written verification of receipt.

d. Customer shall not assign or transfer this Agreement or any rights or obligations under this Agreement, whether voluntary, by operation of law or otherwise, without the prior written consent of Illumina; provided, however, that no consent shall be required for any assignment in connection with any change of control or the sale of all or substantially all of the stock or assets of Customer to a party that (i) agrees in writing to be bound by the terms and conditions of this Agreement, and (ii) is not, in Illumina's reasonable judgment, a competitor of Illumina or any of its affiliate entities. Illumina may assign or transfer this agreement to any (i) successor by way of change of control or sale of all or substantially all of its stock or assets relating to this Agreement, (ii) of its affiliated entities. Illumina or any successor may assign all or part of the right to payments under this Agreement. Any assignment or transfer of this Agreement made in contravention of the terms hereof shall be null and void. Subject to the foregoing, this Agreement shall be binding on and inure to the benefit of the parties' respective successors and permitted assigns.

e. This Agreement and any dispute or claim arising out of or in connection with it or its subject matter or formation shall be governed and construed in accordance with the law of England and Wales. Each Party irrevocably agrees that the courts of England and Wales shall have [non-]exclusive jurisdiction to settle any dispute or claim under or in connection with this Agreement or its subject matter or formation.

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f. Illumina shall not be in breach of this Agreement nor liable for any failure to perform or delay attributable in whole or in part to any cause beyond its reasonable control, including but not limited to acts of God, fire, flood, tornado, earthquake, hurricane, lightning, any action taken by a government or regulatory authority, actual or threatened acts of war, terrorism, civil disturbance or insurrection, sabotage, labor shortages or disputes, failure or delay in delivery by Illumina's suppliers or subcontractors, transportation difficulties, interruption or failure of any utility service, raw materials or equipment, or Customer's fault or negligence. In the event of any such delay the delivery date shall be deferred for a period equal to the time lost by reason of the delay.

g. This Agreement represents the entire agreement between the parties regarding the subject matter hereof and supersedes all prior discussions, communications, agreements, and understandings of any kind and nature between the parties. The parties acknowledge and agree that by entering into this Agreement, they do not rely on any statement, representation, assurance or warranty of any person or entity other than as expressly set out in the Agreement. Each party agrees that it shall have no right or remedy (other than for breach of contract) in respect of any statement, representation, assurance or warranty (whether made negligently or innocently) other than as expressly set out in this Agreement. Nothing in this Section shall exclude or limit liability for fraud. No amendment to this Agreement will be effective unless in writing and signed by both parties. No waiver of any right, condition, or breach of this Agreement will be effective unless in writing and signed by the Party who has the right to waive the right, condition or breach and delivered to the other Party.

h. Any actions or rights that may be performed or exercised by Illumina hereunder may be performed or exercised by Illumina itself or any of its affiliates. By way of non-limiting example, Illumina's affiliates may carry out the Services, invoicing and receipt of payment. Except pursuant to this Section 15(h) no term of this Agreement is enforceable under the Contracts (Rights of Third Parties) Act 1999 by a person or entity that is not a party to this Agreement. The parties to this Agreement may rescind or terminate this Agreement or vary any of its terms without the consent of any third party.